



# SOUTHERN ILLINOIS UNIVERSITY CARBONDALE

## Institutional Animal Care & Use Committee

<b>IACUC Policy #:</b>	<b>310</b>
<b>Policy Title:</b>	<b><i>PI Eligibility for IACUC Animal Use Protocols</i></b>
<b>Date Approved:</b>	<b>June 19, 2019</b>
<b>Date Reviewed:</b>	<b>April 17, 2024</b>
<b>Who must know:</b>	<b>Faculty, researchers, and/or anyone who submits a protocol application as the Principal Investigator</b>

### **Purpose:**

The principal investigator (PI) holds primary responsibility for the design and implementation of the research or teaching protocol. Given the level of accountability and responsibility involved in conducting work with live vertebrate animals and/or cephalopods, the SIUC Institutional Animal Care and Use Committee (IACUC) policy requires PIs to hold an appointment as listed in section (A) of this policy, but recognizes that in certain minimal risk teaching, outreach, or research activities, the role of PI may be appropriate for individuals with other University appointments as noted in sections (B) and (C).

### **Policy:**

- A. Only full-time members of SIUC faculty trained in a discipline that uses animals may serve as Principal Investigators on IACUC protocols. These include the following titles:
  1. Assistant Professor/Research Assistant Professor
  2. Associate Professor/Research Associate Professor
  3. Full Professor/Research Professor
  
- B. In addition to individuals with the titles listed above, the IACUC will consider requests for individuals with the following titles to be eligible to serve as the Principal Investigator on an animal care and use protocol, primarily for teaching and demonstration protocols and minimal risk research protocols (USDA Pain Category C or less). These individuals must provide evidence of substantial relevant training and experience in all proposed animal care and use procedures included in the protocol on which they are requesting to serve as the PI. Individuals seeking to be the PI on a higher risk protocol (USDA Pain Category D or E) will require approval of the IACUC and completion of the form in Appendix A.
  1. Associate Lecturer/Senior Lecturer/Instructor/Research Instructor
  2. Post-Doctoral Fellow
  3. Researcher II or III
  4. Assistant, Associate or Senior Scientist
  
- C. Emeritus faculty or faculty with Visiting, Adjunct, and Courtesy or other appointments, and SIUC staff with appointments not listed in A or B above, will ordinarily not be allowed to serve as a PI on an animal care and use protocol. For the IACUC to consider the eligibility of such individuals to serve as a PI on an animal care and use protocol, the form in Appendix A must be completed and sent to the IACUC office. The IACUC will consider such requests on a case-by-case basis, depending on the proposed animal activities, the rationale for the request, and the relevant experience of the person interested in serving as a PI.



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### Appendix A

#### Application to Serve as Principal Investigator on an IACUC Protocol

Emeritus faculty or faculty with Visiting, Adjunct, and Courtesy or other appointments, and SIUC staff with appointments not listed in section A of IACUC Policy #310, "PI Eligibility for IACUC Animal Use Protocols," may be approved to serve as Principal Investigators (PIs) for a specific project and its duration with special approval. Such individuals who wish to serve as PIs on an animal use protocol must submit this form and the required attachments to [iacuc@siu.edu](mailto:iacuc@siu.edu) **prior to** submitting an Animal Use Protocol.

Name:	Position/Title:
Email address:	Proposed Project Start Date:
Project Title:	
Sponsor/source of funding:	
Academic Unit or Center:	

The prospective PI's School Director and Dean (or equivalent) **must attach a letter** to:

- declare that the applicant has the necessary qualifications, experience, and independence to administer the project and comply with university policies, applicable laws, IACUC policies and procedures, and facility requirements with regards to animal use,
- explain the circumstances that justify the approval of this individual to serve as a PI on this project, and
- state explicitly which faculty member will accept responsibility for the project and the animals should the individual leave SIUC or otherwise be unable to complete the proposed project.

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#### **CERTIFICATIONS:**

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We recommend that the above-named individual be allowed to serve as Principal Investigator on this project and certify that the necessary laboratory space and necessary resources for animal welfare will be available to him/her through completion of this project.

We the undersigned agree to assume responsibility for this project in the event the above-named individual leaves SIUC prior to its completion or is unable to complete the work for any reason.

<b>School Director (or equivalent) Signature</b>	<b>Date</b>	<b>Please print name</b>
<b>College Dean (or equivalent) Signature</b>	<b>Date</b>	<b>Please print name</b>

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#### **APPROVAL:**

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<b>IACUC Chair Signature</b>	<b>Date</b>	<b>Please print name</b>
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